## FSC Controlled Wood National Risk Assessment for Canada DRAFT 2

### Summary

<table>
<thead>
<tr>
<th>Indicator</th>
<th>Description</th>
<th>Draft 1 Risk Designation</th>
<th>Draft 2 Risk Designation</th>
<th>Key Change:</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Controlled wood category 1: Illegally harvested wood</strong></td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>1.1 Land tenure and management rights</td>
<td>Low Risk for Canada</td>
<td>Low Risk for Canada</td>
<td>None</td>
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<tr>
<td>1.2 Concession licenses</td>
<td>Low Risk for Canada</td>
<td>Low Risk for Canada</td>
<td>None</td>
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<tr>
<td>1.3 Management and harvesting planning</td>
<td>Low Risk for Canada</td>
<td>Low Risk for Canada</td>
<td>None</td>
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<tr>
<td>1.4 Harvesting permits</td>
<td>Low Risk for Canada</td>
<td>Low Risk for Canada</td>
<td>None</td>
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<tr>
<td>1.5 Payment of royalties and harvesting fees</td>
<td>Low Risk for Canada</td>
<td>Low Risk for Canada</td>
<td>None</td>
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<tr>
<td>1.6 Value added taxes and other sales taxes</td>
<td>Low Risk for Canada</td>
<td>Low Risk for Canada</td>
<td>None</td>
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<tr>
<td>1.7 Income and profit taxes</td>
<td>Low Risk for Canada</td>
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<td>None</td>
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<tr>
<td>1.8 Timber harvesting regulations</td>
<td>Low Risk for Canada</td>
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<tr>
<td>1.9 Protected sites and species</td>
<td>Low Risk for Canada</td>
<td>Low Risk for Canada</td>
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<tr>
<td>1.10 Environmental requirements</td>
<td>Low Risk for Canada</td>
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<tr>
<td>1.11 Health and safety</td>
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<tr>
<td>1.12 Legal employment</td>
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<tr>
<td>1.13 Customary rights</td>
<td>Low Risk for Canada</td>
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<td>Content update</td>
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</tr>
<tr>
<td>1.14 Free prior and informed consent</td>
<td>Low Risk for Canada</td>
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<td>None</td>
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<tr>
<td>1.15 Indigenous People's rights</td>
<td>Low Risk for Canada</td>
<td>Low Risk for Canada</td>
<td>Content update</td>
<td></td>
</tr>
<tr>
<td>1.16 Classification of species, quantities, qualities</td>
<td>Low Risk for Canada</td>
<td>Low Risk for Canada</td>
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<tr>
<td>1.17 Trade and transport</td>
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<tr>
<td>1.18 Offshore trading and transfer pricing</td>
<td>Low Risk for Canada</td>
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<tr>
<td>1.19 Custom regulations</td>
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<td>1.20 CITES</td>
<td>Low Risk for Canada</td>
<td>Low Risk for Canada</td>
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</tr>
<tr>
<td>1.21 Legislation requiring due diligence/due care procedures</td>
<td>Low Risk for Canada</td>
<td>Low Risk for Canada</td>
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<td></td>
</tr>
</tbody>
</table>

**Controlled wood category 2: Wood harvested in violation of traditional and human rights**

<table>
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<tr>
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<tr>
<td>2.1 The forest sector is not associated with violent armed conflict, including that which threatens national or regional security and/or linked to military control.</td>
<td>Low Risk for Canada</td>
<td>Low Risk for Canada</td>
<td>None</td>
<td></td>
</tr>
<tr>
<td>2.2 Labour rights are respected including rights as specified in ILO Fundamental Principles and Rights at work.</td>
<td>Low Risk for Canada</td>
<td>Low Risk for Canada</td>
<td>None</td>
<td></td>
</tr>
</tbody>
</table>
| 2.3 The rights of Indigenous and Traditional Peoples are upheld. | Specified Risk for Canada, except where claimed traditional territories meet the following conditions:  
- Aboriginal Title land; OR  
- The Indigenous community holds an area-based forest management licence that is exclusively within its | Low Risk for Canada | Significant change to assessment methodology.  
Update of information sources. |
| 3.1 | HCV 1: Species diversity. | Specified Risk for all provinces & territories in Canada | Specified Risk for the following ecoregions:  
  - Southern Great Lakes forest  
  - Eastern Great Lake lowland forest  
  - Central Pacific coastal forest  
  - Eastern Canadian forest  
  - New England Acadian forest  
  - Puget Lowland forest  
  - Eastern Canadian Shield taiga  
  - Central Canadian Shield forests  
  - Eastern forest-boreal transition  
  - Midwestern Canadian Shield forest  
  - Mid-Continental Canadian forests  
  - Southern Hudson Bay taiga  
  - Northern Canadian Shield taiga  
  - Canadian Aspen forests and parklands  
  - Alberta-British Columbia foothills forests  
  - Muskwa-Slave Lake forests  
  - Northwest Territories taiga  
  - Fraser Plateau and Basin complex  
  - Northern transitional alpine forests  
  - Central British Columbia Mountain forests  
  - British Columbia mainland coastal forests  
  - Northern Cordillera forests  
  - Alberta Mountain forests  
  - North Central Rockies forests  
  - Okanagan dry forests | Significant change to assessment methodology. |
| 3.2 | HCV 2: Landscape-level ecosystems and mosaics. | Specified Risk for the following ecoregions:  
  - Eastern forest-boreal transition  
  - Central Pacific coastal forests  
  - Queen Charlotte Islands  
  - Canadian Aspen forests and parklands  
  - Western Great Lakes forests  
  - Fraser Plateau and Basin complex  
  - Alberta Mountain forests  
  - Alberta-British Columbia foothills forests  
  - British Columbia mainland coastal forests | Specified Risk for identified IFLs within the following ecoregions:  
  - Alberta-British Columbia foothills forest  
  - British Columbia mainland coastal forests  
  - Canadian Aspen forests and parklands  
  - Cascade Mountains leeward forests  
  - Central British Columbia Mountain forests  
  - Eastern forest-boreal transition  
  - Mid-Continental Canadian forests  
  - North Central Rockies forests | Significant change to assessment methodology. |
| 3.3 | HCV 3: Ecosystems and habitats. | Specified Risk for the following ecoregions in Canada:  
- New England Acadian forests  
- Gulf of St. Lawrence lowland forests  
- Puget lowlands forest  
- Central Pacific coastal forests  
- Alberta - British Columbia foothills forests | Low Risk for Canada.  
See also HCV 1. | Significant change to assessment methodology. |
| 3.4 | HCV 4: Critical ecosystem services. | Specified Risk for the following provinces & territories:  
- Yukon  
- Northwest Territories  
- Alberta  
- Saskatchewan | Specified Risk for the following provinces & territories:  
- Yukon  
- Northwest Territories  
- Alberta  
- Saskatchewan | Update of information sources. |
| 3.5 | HCV 5: Community needs. | Specified Risk for the following provinces:  
- Saskatchewan  
- Alberta  
AND  
Specified Risk for all claimed traditional territories of Indigenous People, except the following areas:  
- Aboriginal Title land, OR  
- The Indigenous community holds an area-based forest management licence that is exclusively within its territory, OR  
- Modern treaty. | Specified Risk for the following province:  
- Saskatchewan | Partial change to assessment methodology.  
Update of information sources. |
| 3.6 | HCV 6: Cultural values | Specified Risk for all claimed traditional territories of Indigenous People, except the following areas:  
- Aboriginal Title land, OR  
- The Indigenous community holds an area-based forest management licence that is exclusively within its territory, OR  
- Modern treaty. | Low Risk for Canada | Partial change in assessment methodology.  
Updates to information sources. |

**Controlled wood category 4: Wood from forests being converted to plantations or non-forest use**

| 4.1 | Net conversion of natural forests to plantations or non-forest use is less than 0.02% or 5000 hectares on average for the past 5 years. | Specified Risk for the following ecozones in Canada:  
- Boreal Plains  
- Mixedwood Plains | Specified Risk for private land within the following ecozones in Canada:  
- Boreal Plains  
- Mixedwood Plains | Partial change in assessment methodology. |
<table>
<thead>
<tr>
<th>Controlled wood category 5: Wood from forests in which genetically modified trees are planted</th>
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<tbody>
<tr>
<td>5.1</td>
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</table>